# 2014 No. 3307 (C. 152)

# LEGAL SERVICES, ENGLAND AND WALES

The Legal Services Act 2007 (Commencement No. 12, Supplementary and Transitory Provision) Order 2014

Made - - - - 15th December 2014

Laid before Parliament 17th December 2014

Coming into force - - 20th January 2015

The Lord Chancellor makes the following Order in exercise of the powers conferred by sections 208(2) and (4) and 211(2) of the Legal Services Act 2007(a).

#### Citation, commencement and interpretation

- 1.—(1) This Order may be cited as the Legal Services Act 2007 (Commencement No. 12, Supplementary and Transitory Provision) Order 2014 and comes into force on 20th January 2015.
  - (2) In this Order—

"the 2007 Act" means the Legal Services Act 2007;

"Claims Management Services Regulator" means—

- (a) the person designated as the Regulator under section 5(1) of the Compensation Act  $2006(\mathbf{b})$ , or
- (b) the person exercising the functions of the Regulator under section 5(9) of that  $Act(\mathbf{c})$ ;
- "regulated claims management services" has the same meaning as in Part 2 of the Compensation Act 2006.

#### **Commencement of section 161**

- **2.** Section 161 (extension of Part 6 to claims management services) of the 2007 Act comes into force as follows—
  - (a) subsection (3) comes into force on 20th January 2015; and
  - (b) subsections (1), (2) and (4) come into force on 28th January 2015.

#### Adaptation relating to acts or omissions before the commencement of section 161

**3.** For the purposes of the extension of Part 6 of the 2007 Act to regulated claims management services, section 125 of that Act is to be read as if, for "this section", there were substituted "section 161".

<sup>(</sup>a) 2007 c. 29.

<sup>(</sup>b) 2006 c. 29.

<sup>(</sup>c) Section 5(9) has been amended by paragraph 3(7) of Schedule 19 to the Legal Services Act 2007, but the amendment has not yet been commenced.

### **Supplementary provision**

**4.** For the purposes of section 128 of the 2007 Act a person is to be regarded as an authorised person in relation to regulated claims management services at a time before section 161 of that Act comes into force, if the person was at that time a person authorised by the Claims Management Services Regulator under Part 2 of the Compensation Act 2006 to provide regulated claims management services.

### **Transitory modification**

**5.** Until the coming into force of paragraph 3(7) of Schedule 19 to the 2007 Act, section 161(4) of that Act is to be read as if the reference to "the Board" were to "the Secretary of State who is exercising functions under section 5(9) of the Compensation Act 2006".

Signed by authority of the Lord Chancellor

Shailesh Vara
Parliamentary Under Secretary of State
Ministry of Justice

15th December 2014

#### EXPLANATORY NOTE

(This note is not part of the Order)

This is the twelfth Commencement Order made under the Legal Services Act 2007 (c.29) ("the 2007 Act").

Article 2 commences section 161 (extension of Part 6 to claims management services) of the 2007 Act. That section extends the scope of the Legal Ombudsman scheme in Part 6 of the 2007 Act so as to allow the ombudsman, established under that Part, to consider complaints about the service provided by companies regulated by the Claims Management Services Regulator in accordance with the scheme rules under which the ombudsman operates. By virtue of section 5(9) of the Compensation Act 2006 (c.29) the Secretary of State currently exercises the functions of the Claims Management Services Regulator.

Article 3 adapts section 125 of the 2007 Act in consequence of the coming into force of section 161. Section 125(2) of the 2007 Act makes provision for the Legal Ombudsman to have jurisdiction over acts or omissions which occurred before the commencement of that section. Article 3 adapts the 2007 Act so that, in relation to complaints about claims management services, the ombudsman's jurisdiction extends back from the coming into force of section 161. This means that the jurisdiction in respect of such complaints is equivalent to that in respect of other legal complaints.

Article 4 provides that persons authorised by the Claims Management Services Regulator are to be treated as respondents for the purposes of the ombudsman scheme where an act or omission to which a complaint relates pre-dates the commencement of section 161. This complements the provision made by section 161 itself which brings persons authorised by the Claims Management Services Regulator within the ombudsman scheme from the date of commencement.

Article 5 modifies section 161(4) of the 2007 Act to substitute the reference to the Legal Services Board in that subsection with a reference to the same Secretary of State who exercises the functions of the Claims Management Services Regulator. This is to reflect the fact that the provision in the 2007 Act which substitutes the Legal Services Board for the Secretary of State as the person who exercises the functions of the Claims Management Services Regulator in the absence of another person being so designated has not been commenced.

An impact assessment has not been produced for this instrument as no impact on the private or voluntary sectors is foreseen.

## NOTE AS TO EARLIER COMMENCEMENT ORDERS

(This note is not part of the Order)

The following provisions of the 2007 Act have been brought into force by commencement orders made before the date of this Order.

Provision	Date of Commencement	S.I. No.
Sections 1, 2, 3, 5, 6 and 7	07.03.2008	2008/222
Section 4	01.01.2010	2009/3250
Sections 8, 9, 10, 11, 20(5), 24(3), 26(2), 27, 29, 30, 33, 37(4) and (5), 41(4) and (5), 42 (6) to (10), 44(2), 45(3)(b) and (c), (4) and (7), 48(6) to (9), 49, 50 and 51(1), (3), (4) and (6) to (8)	01.01.2009	2008/3149
Sections 12 to 17, 18(1)(a) subject to transitory provision, 19, 20, 21 partially, 22 to 24 and 25 partially and subject to transitory provision	01.01.2010	2009/3250

Section 18(2) and (4), and section 21 wholly	01.10.2011	2011/2196
Section 18 wholly	06.10.2011	2011/2196
Section 25 wholly but subject to transitional provision	29.03.2010	2010/1118
Sections 26, 28, 31, 32, 34 to 48, 51, 52 to 63,	01.01.2010	2009/3250
64 partially and subject to transitory provision and 65 to 68		
Section 64 wholly	01.10.2011	2011/2196
Sections 69 and 70	07.03.2008	2008/222
Sections 71, 72, 73(1)(b), (2)(b) and (4)	01.10.2011	2011/2196
Sections 74, 75(1)(b), (2)(b) and (4) Sections 74, 76(3)(b) and (c), (4) and (7), 79(6)	01.01.2009	2008/3149
to (10), 84(2) partially, 87(4) and (5), 89, 95(3)	01.01.2007	2000/3147
and (4), 96(1), 112(2) and (4)		
Sections 75 to 79 wholly	29.03.2010	2010/1118
Sections 80 and 81	30.11.2010	2010/2842
Section 82 subject to transitory provision	01.10.2011	2011/2196
Section 83(3) to (7)	29.03.2010	2010/1118
Sections 84(1) subject to transitory provision,	01.10.2011	2011/2196
(3), (4), (5) subject to transitional provision, and (6), 85 subject to transitory provision,		
86(1), (3) and (4), 87, 88, 90 to 102, 104, 110		
and 111		
Sections 112(1) and (3) and 113 subject to	06.10.2010	2010/2089
transitory provision		
Section 114	07.03.2008	2008/222
Sections 115 to 124	01.01.2009	2008/3149
Sections 125 and 126 wholly but subject to	06.10.2010	2010/2089
transitory provision		
Sections 126(3), 127 and 128(3)(b), (4)(d) and (5)(c)	01.01.2009	2008/3149
Sections 128 wholly and 129	06.10.2010	2010/2089
Section 130	01.01.2009	2010/2089
Section 130	06.10.2010	2008/3149
Section 131 Sections 132 and 133	01.01.2009	2010/2089
Sections 134 and 135	06.10.2010	2010/2089
Sections 136 and 137(4), 140(6)(c), 141(5), (6) and (7), 144(1) and (3) to (7), 145(2) and (3)	01.01.2009	2008/3149
Sections 137 to 143 wholly, 144 and 145	06.10.2010	2010/2089
wholly but subject to transitory provision and		
146 to 154 wholly		
Sections 155 and 156	01.01.2009	2008/3149
Section 157(3)	29.03.2010	2010/1118
Sections 157 and 158 wholly but subject in part	06.10.2010	2010/2089
to transitory and transitional provisions and section 159(1) and (2)(a) and (b) for limited		
purposes		
Section 159(1) and (2)(b) for limited purposes	31.12.2011	2010/2089
Section 159(2)(b) for limited purposes	01.01.2011	2010/2089
Sections 160 and 162	01.01.2009	2008/3149
Section 163	01.01.2010	2009/3250
Sections 164 to 166	06.10.2010	2010/2089
Sections 167 to 171 all subject to transitory	01.01.2010	2009/3250
provision		
Section 172	07.03.2008	2008/222

Sections 173(1) and (3) to (11), 174(1), (3), (4), (6) and (7)	01.01.2009	2008/3149
Section 173 wholly, 174 and 175(1)(a), (b), (d), (j) and (l) and (2)(a) and (b)	01.01.2010	2009/3250
Section 175(1)(c), (k), (m) and (n)	01.01.2009	2008/3149
Section 175(1)(g), (h) and (i)	06.10.2010	2010/2089
Section 175(2)(c) and (d)	29.03.2010	2010/1118
Section 175(2)(e)	01.04.2011	2011/720
Section 176 partially	01.01.2010	2009/3250
Section 177	07.03.2008	2008/222
Sections 178 and 179	01.01.2010	2009/3250
Section 180	07.03.2008	2008/222
Section 181	01.01.2010	2009/3250
Section 182	07.03.2010	2008/222
Sections 183 to 185	01.01.2010	2009/3250
Section 186	01.04.2011	2011/720
Section 187	07.03.2008	2008/222
Section 187 Sections 188 to 190, 192 and 193	01.01.2010	2008/222
	30.06.2008	2009/3230
Section 194(8) and (9) and (10)		
Section 194 (wholly), 195 and 196	01.10.2008	2008/1436
Sections 197 and 198	01.01.2010	2009/3250
Section 199(1) and (2)	07.03.2008	2008/222
Section 199(3)	31.03.2009	2009/503
Section 199(4), (5) and (6)(b)	30.06.2008	2008/1436
Section 199(5) wholly, (6)(a) partially, (6)(b) wholly	31.03.2009	2009/503
Section 199 wholly	01.01.2010	2009/3250
Section 200(1)	07.03.2008	2008/222
Sections 200(2) to (4), 201, 202 and 203	01.01.2009	2008/3149
Section 204(1), (3) and (4)(a)	07.03.2008	2008/222
Section 204 wholly and 205	01.01.2009	2008/3149
Sections 206, 209 and 210	07.03.2008	2008/222
Certain definitions in section 207	07.03.2008,	2008/222,
	30.06.2008 and	2008/1436 and
	31.03.2009	2009/503
Section 207 wholly	01.01.2010	2009/3250
Section 208(1)	31.03.2009	2009/503
Section 213	01.01.2010	2009/3250
Schedule 1	07.03.2008	2008/222
Schedules 2 and 3	01.01.2010	2009/3250
Paragraphs 3(1), (3) and (4), 4(2), 11(3), 13, 20 and 23(3) of Schedule 4	01.01.2009	2008/3149
Schedule 4 remainder except paragraph 19(2)(f)	01.01.2010	2009/3250
Schedule 4, paragraph 19(2)(f)	01.04.2011	2011/720
Schedule 5 subject to transitory provision	01.01.2010	2009/3250
Paragraphs 12(1) to (3), 13 and 14 of Schedule	01.01.2009	2008/3149
6	01.01.2007	2000/3147
Schedule 6 wholly	01.01.2010	2009/3250
Paragraphs 1, 2(5) and 10(3) of Schedule 7	01.01.2009	2008/3149
Schedule 7 wholly	01.01.2010	2009/3250
Paragraphs 1, 2(5), 10(5), 13(2)(a) and 21(5) of	01.01.2009	2008/3149
Schedule 8	01.01.2007	2000/317/

Schedule 8 wholly	01.01.2010	2009/3250
Paragraphs 1, 2(5) and 9(5) of Schedule 9	01.01.2009	2008/3149
Schedule 9 wholly	01.01.2010	2009/3250
Paragraphs 1(1), (4) and (5), 2(2), 9(3), 11, 17,	01.01.2009	2008/3149
18(5) and 25(5) of Schedule 10	01.01.200)	2000/3117
Schedules 10 and 11 wholly	29.03.2010	2010/1118
Paragraphs 2(4) and (5) and 4 of Schedule 12	01.01.2009	2008/3149
Paragraph 8 and other paragraphs (for limited	01.01.2009	2008/3149
purposes only) of Schedule 13	V-1.V-1.	
Schedule 13 wholly	01.10.2011	2011/2196
Schedule 14	01.10.2011	2011/2196
Paragraphs 1 to 12 of Schedule 15	07.03.2008	2008/222
Paragraphs 13 to 34 of Schedule 15	01.01.2009	2008/3149
Paragraphs 1, 2(b), 4(b), 7(b), 8(1) and (2), 21,	07.03.2008	2008/222
31(1) and (2)(a) and (d), 34(1), (2)(a) and (3),		
38(a) in part, 38(b)(i) and (ii), 50(a)(ii), 53,		
54(1) and (4)(b), 64(1), (3) and (4), 71 subject		
to transitory provision, 72(a) and 75(c)		
partially, 80, 115, 124, 126, 128(a) and (c)(i)		
and 130 of Schedule 16		
Paragraphs 38(b)(iii), 47, 48, 54(2)(a) and (c),	30.06.2008	2008/1436
(3), (4)(a), (5), (6)(a) and (9)(b), 56(c), 81(1)		
and (9), 86(g) partially, 111, 112(a), (c) and (d)		
and 113(b)(iii), 125(c), (d), (e), (f) and (g) and		
128(c)(ii) of Schedule 16	21.02.2000	2000/502
Paragraphs 31(2)(c) and (e), 32(1), (2)(a) and	31.03.2009	2009/503
(c) to (e) and (3) to (7), 33, 35, 36, 37, 41(1)		
and (2), 42, 43, 44, 45, 46, 49(b), (d), (f) and (h), 50(b) and (c), 54(6)(b) and (7), 59(b)(iii),		
62(d) and (e), 70, 72(b), 74, 75(a) and (c)		
partially, 77, 79, 81 wholly, 82, 83, 84(1), (2)		
and (4), 85, 86 wholly, 87 to 93, 94, 95 to 99,		
101, 102, 103, 104, 105(a), (b)(i) and (iii) and		
(c), 106(a), (b), (d) and (e) partially, 107, 108		
to 110, 113(b)(iv) and (c), 114, 116 to 123, 125		
wholly, 127, 131, 132, 133, 134 and 136 of		
Schedule 16		
Paragraphs 3, 5, 6, 7(a), 8(3) to (5), 9, 10, 11	01.07.2009	2009/1365
subject to transitory provision, 12, 13, 14, 15		
subject to transitory provision, 16, 17, 18, 19,		
20, 22, 23, 30, 40, 41(3) to (6), 49(a), (c) and		
(e), 50(a)(i), 51, 52, 54(2)(b), 75(b) and		
(c)(partially), 128(d), (e)(ii), (f) and (g), 129,		
135 and 138 of Schedule 16	01 01 2010	2000/2250
Paragraphs 2(a) and (c) wholly, 4(a) and (c)	01.01.2010	2009/3250
wholly, 24 and 25, 26 subject to transitory provision, 27 subject to transitory provision, 28		
subject to transitory provision, 29, 31(2)(b), (3)		
and (4), 32(2)(b), 34(2)(b), 38(a), 54(8) and		
(9)(a), 55, 56(a) and (b), 57, 58, 59(a) and (b)(i)		
and (ii), 60, 61, 62(a) to (c), 63, 64(2), 65 to 69,		
73 subject to saving, 75(c) partially, 76, 112(b),		
113(a) and (b)(i) and (ii), 128(b) and (e)(i) and		
137 of Schedule 16		
Paragraphs 39, 78, 100, 105(b)(ii) and 106(c)	06.10.2010	2010/2089
of Schedule 16 all subject to transitional		

provision		
Schedule 16 wholly	01.10.2011	2011/2196
Paragraph 1 of Schedule 17	07.03.2008	2008/222
Paragraph 10 of Schedule 17	01.01.2010	2009/3250
Paragraph 11 of Schedule 17	07.03.2008	2008/222
Paragraphs 2(a), 4, 5(1), (2)(a) and (b) partially	31.03.2009	2009/503
and (3)(a) and (b) partially, 6, 7(1) and (2)(a)	01.00.2009	2002/200
and (b) partially, 8, 9, 12 to 19, 20, 21, 22, 24		
to 26, 28, 29, 30(1), (2)(b) and (3), 31 and		
32(1) to (6)(f), (6)(g) partially and (7) to (18),		
33, 34(1), (5) and (6) and 35(1), (5) and (7) to		
(11) of Schedule 17		
Paragraphs 2 wholly and 3 of Schedule 17	01.01.2010	2009/3250
Paragraphs 23, 27, 30 wholly, 34 wholly and	01.01.2010	2009/3250
35(2) to (4) and (6) of Schedule 17		
Paragraph 32 of Schedule 17	06.10.2010	2010/2089
Schedule 17 wholly	01.10.2011	2011/2196
Parts 1 and 2 of Schedule 18	01.04.2011	2011/720
Paragraphs 1, 5, 8 and 9 of Schedule 19	07.03.2008	2008/222
Paragraphs 6(1) and (2) and 11(1) and (6) of	30.06.2008	2008/1436
Schedule 19		
Schedule 20	01.10.2008	2008/1436
Paragraphs 65, 67, 83, 87, 99, 100(b) to (d) and 101 of Schedule 21	31.03.2009	2009/503
Schedule 21 wholly save transitory and saving	01.01.2010	2009/3250
provision in respect of paragraphs 84(f), 88, 96		
and 100(a) and (f)		
Paragraphs 1 to 5 and paragraph 9 (for certain	07.03.2008	2008/222
purposes) of Schedule 22		
Paragraph 18 of Schedule 22	30.06.2008	2008/1436
Paragraphs 6 to 8, 11(1), 13, 14, 15, 16 and 17	31.03.2009	2009/503
of Schedule 22		
Schedule 23 partially	07.03.2008,	2008/222,
	30.06.2008,	2008/1436,
	01.10.2008,	2008/1436,
	31.03.2009,	2009/503,
	01.07.2009,	2009/1365,
	01.01.2010,	2009/3250,
	06.10.2010,	2010/2089,
	01.01.2011,	2010/2089,
	31.12.2011 and	2010/2089 and
	01.10.2011	2011/2196
Schedule 24	01.01.2010	2009/3250

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